



UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

CNP7005535553 1116 X135 Y1 0

Resource Management Account

November 2016

Account name: GHISLAINE MAXWELL

Friendly account name: Ind:Cash/Saving

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: 212-821-7000/800-308-3140

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 029023575.

Visit our website:

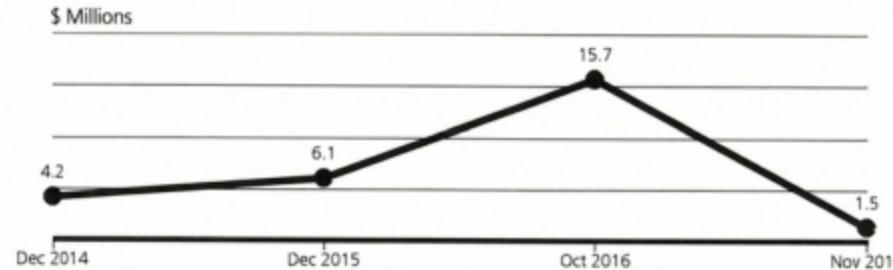
www.ubs.com/financialservices

GHISLAINE MAXWELL
P.O. BOX 308
TEANECK NJ 07666-0308

Value of your account

	on October 31 (\$)	on November 30 (\$)
Your assets	15,699,173.12	1,468,134.79
Your liabilities	0.00	0.00
Value of your account	\$15,699,173.12	\$1,468,134.79

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$6,144,731.58
Net deposits and withdrawals	-\$4,744,108.00
Your investment return:	
Dividend and interest income	\$60,493.47
Change in market value	\$7,017.74
Value of your account on Nov 30, 2016	\$1,468,134.79



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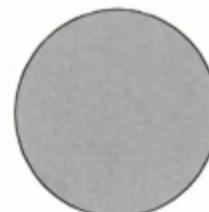
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Your account balance sheet

Summary of your assets

	Value on November 30 (\$)	Percentage of your account	
A Cash and money balances	0.00	0.00%	
B Cash alternatives	1,468,134.79	100.00%	
C Equities	0.00	0.00%	
D Fixed income	0.00	0.00%	
E Non-traditional	0.00	0.00%	
F Commodities	0.00	0.00%	
G Other	0.00	0.00%	
Total assets	\$1,468,134.79	100.00%	
Value of your account	\$1,468,134.79		

Your current asset allocation



B

Eye on the markets

Index	Percentage change	
	November 2016	Year to date
S&P 500	3.70%	9.79%
Russell 3000	4.48%	10.58%
MSCI - Europe, Australia & Far East	-1.98%	-1.86%
Barclays Capital U.S. Aggregate Bond Index	-2.37%	2.50%

Interest rates on November 30, 2016

3-month Treasury bills: 0.48%

One-month LIBOR: 0.62%



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Change in the value of your account

	November 2016 (\$)	Year to date (\$)
Opening account value	\$15,699,173.12	\$6,144,731.58
Deposits, including investments transferred in	11,570.91	14,847,792.86
Withdrawals and fees, including investments transferred out	-14,250,000.00	-19,591,900.86
Dividend and interest income	7,390.76	60,493.47
Change in market value	0.00	7,017.74
Closing account value	\$1,468,134.79	\$1,468,134.79

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2016 (\$)	Year to date (\$)
Taxable dividends	7,389.93	58,282.12
Taxable interest	0.83	37.62
Total current year	\$7,390.76	\$58,319.74
Prior year adjustment	0.00	2,173.73
Total dividend & interest	\$7,390.76	\$60,493.47

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2016 (\$)	Year to date (\$)
Opening balances	\$0.00	\$2,010,294.62
<i>Additions</i>		
Deposits and other funds credited	11,570.91	14,847,792.86
Dividend and interest income	7,390.76	60,493.47
Proceeds from investment transactions	10,711,496.79	28,050,955.06
Total additions	\$10,730,458.46	\$42,959,241.39
<i>Subtractions</i>		
Checks and bill payments	0.00	-3,000,000.00
Other funds debited	-10,711,496.79	-13,053,397.65
Funds withdrawn for investments bought	-18,961.67	-28,916,138.36
Total subtractions	-\$10,730,458.46	-\$44,969,536.01
Net cash flow	\$0.00	-\$2,010,294.62
Closing balances	\$0.00	\$0.00



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UBS Bank USA Deposit Account APY

Interest period Oct 7 - Nov 6

Opening UBS Bank USA Deposit balance Oct 7	\$0.00
Closing UBS Bank USA Deposit balance Nov 6	\$0.00
Number of days in interest period	31
Average daily balance	\$47,834.05
Interest earned	\$0.83
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash alternatives

Money market funds

Money market funds are neither insured nor guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Institutional prime and institutional municipal funds must float their net asset values (NAV) per share to the nearest 1/100th of a cent (e.g., \$1.0000). Government and retail money market funds will continue to transact at a stable \$1.00 net asset value. Although money market funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in these funds.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 30 (\$)	Value on Nov 30 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
UBS PRIME RESERVES FUND	1,468,134.790	---This information was unavailable---		1.0000	1,468,134.79				

EAI: \$7,327 Current yield: 0.50%

Your total assets

		Value on Nov 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash alternatives	* Money market funds	1,468,134.79	100.00%		7,327.00	
Total		\$1,468,134.79	100.00%		\$7,327.00	

* Missing cost basis information.

Account activity this month

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited	Nov 1	Transfer	JOURNAL FROM Y1 23572 GHISLAINE MAXWELL	83.29
	Nov 1	Transfer	JOURNAL FROM Y1 23568 GHISLAINE MAXWELL	806.52

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited (continued)				
	Nov 1	Transfer	JOURNAL FROM Y1 23574GHISLAINE MAXWELL	10,680.70
	Nov 9	Transfer	JOURNAL FROM Y1 23679POT & KETTLE, LLC	0.05
	Nov 9	Transfer	JOURNAL FROM Y1 23692116 EAST 65TH STREET LLC	0.35
	Total deposits and other funds credited			\$11,570.91
Dividend and interest income				
Taxable dividends	Nov 1	Dividend	PUTNAM SHORT DURATION INCOME FUND Y AS OF 10/31/16	2,463.57
	Nov 30	Dividend	UBS PRIME RESERVES FUND	4,926.36
	Total taxable dividends			\$7,389.93
Taxable interest	Nov 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/04/16	0.83
	Total taxable interest			\$0.83
	Total dividend and interest income			\$7,390.76
Other funds debited				
	Nov 21	Withdrawal	FEDERAL FUNDS TO Haddon, Morgan and Foreman, AT WELLS FARGO BANK, NA	-50,000.00
	Nov 28	Transfer	TO Y1 36512 2100	-10,661,496.79
	Total other funds debited			-\$10,711,496.79

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 1	Reinvestment	PUTNAM SHORT DURATION INCOME FUND Y DIVIDEND REINVESTED AT 10.05 NAV ON 10/31/16 AS OF 10/31/16	245.131				-2,463.57	
Nov 3	Bought	UBS PRIME RESERVES FUND	0.510					
Nov 3	Bought	UBS PRIME RESERVES FUND	11,570.000				-11,570.51	
Nov 9	Bought	UBS PRIME RESERVES FUND	0.830					
Nov 9	Bought	UBS PRIME RESERVES FUND					-0.83	
Nov 10	Bought	UBS PRIME RESERVES FUND	0.400					
Nov 10	Bought	UBS PRIME RESERVES FUND					-0.40	

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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 21	Sold	UBS PRIME RESERVES FUND	-50,000.000			50,000.00		
Nov 28	Sold	UBS PRIME RESERVES FUND	-0.790					
Nov 28	Sold	UBS PRIME RESERVES FUND	-10,661,496.000			10,661,496.79		
Nov 29	Transfer	PUTNAM SHORT DURATION INCOME FUND Y TO Y1 36512 2100	-352,089.872	-3,538,503.21				
Nov 30	Reinvestment	UBS PRIME RESERVES FUND DIVIDEND REINVESTED AT 1.00 NAV ON 11/30/16	0.360				-0.36	
Nov 30	Reinvestment	UBS PRIME RESERVES FUND DIVIDEND REINVESTED AT 1.00 NAV ON 11/30/16	4,926.000				-4,926.00	
Total						\$10,711,496.79	-\$18,961.67	
Securities transferred out					-\$3,538,503.21			

Money balance activities	Date	Activity	Description	Amount (\$)
	Oct 31	Balance forward		\$0.00
	Nov 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	11,570.51
	Nov 4	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/03/16	-11,570.51
	Nov 30	Closing UBS Bank USA Deposit Account		\$0.00

The UBS Bank USA Deposit Account is your primary sweep option.



Your notes

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End of statement for account number Y1 23575 55

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