



UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

CNP7005535537 1116 X135 Y1 0

Resource Management Account

November 2016

Account name: GHISLAINE MAXWELL

Friendly account name: Indiv.V49920008

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL
Phone: 212-821-7000/800-308-3140

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 029023572.

Visit our website:

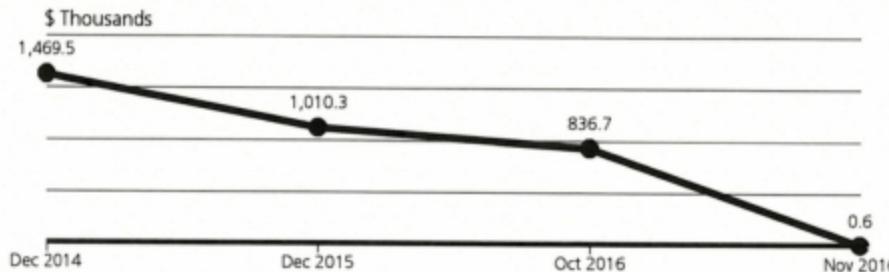
www.ubs.com/financialservices

GHISLAINE MAXWELL
P.O. BOX 308
TEANECK NJ 07666-0308

Value of your account

| | on October 31 (\$) | on November 30 (\$) |
|------------------------------|---------------------|---------------------|
| Your assets | 836,741.99 | 577.18 |
| Your liabilities | 0.00 | 0.00 |
| Value of your account | \$836,741.99 | \$577.18 |

Tracking the value of your account



**Sources of your account growth
during 2016**

| | |
|--|-----------------|
| Value of your account at year end 2015 | \$1,010,341.52 |
| Net deposits and withdrawals | -\$1,008,505.33 |
| Your investment return: | |
| Dividend and interest income | \$32,611.29 |
| Change in market value | -\$33,870.30 |
| Value of your account on Nov 30, 2016 | \$577.18 |



Your account balance sheet

Summary of your assets

| | Value on November 30 (\$) | Percentage of your account |
|----------------------------------|------------------------------|-------------------------------|
| A Cash and money balances | 577.18 | 100.00% |
| B Cash alternatives | 0.00 | 0.00% |
| C Equities | 0.00 | 0.00% |
| D Fixed income | 0.00 | 0.00% |
| E Non-traditional | 0.00 | 0.00% |
| F Commodities | 0.00 | 0.00% |
| G Other | 0.00 | 0.00% |
| Total assets | \$577.18 | 100.00% |

Value of your account **\$577.18**

Your current asset allocation



A

Eye on the markets

| Index | Percentage change | |
|--|-------------------|--------------|
| | November 2016 | Year to date |
| S&P 500 | 3.70% | 9.79% |
| Russell 3000 | 4.48% | 10.58% |
| MSCI - Europe, Australia & Far East | -1.98% | -1.86% |
| Barclays Capital U.S. Aggregate Bond Index | -2.37% | 2.50% |

Interest rates on November 30, 2016

3-month Treasury bills: 0.48%

One-month LIBOR: 0.62%



Change in the value of your account

| | November 2016 (\$) | Year to date (\$) |
|---|---------------------|-----------------------|
| Opening account value | \$836,741.99 | \$1,010,341.52 |
| Deposits, including investments transferred in | 0.00 | 700.00 |
| Withdrawals and fees, including investments transferred out | -793,113.46 | -1,009,205.33 |
| Dividend and interest income | 823.01 | 32,611.29 |
| Change in market value | -43,874.36 | -33,870.30 |
| Closing account value | \$577.18 | \$577.18 |

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

| | November 2016 (\$) | Year to date (\$) |
|--------------------------------------|--------------------|--------------------|
| Taxable dividends | 823.01 | 32,610.75 |
| Taxable interest | 0.00 | 0.30 |
| Total current year | \$823.01 | \$32,611.05 |
| Prior year adjustment | 0.00 | 0.24 |
| Total dividend & interest | \$823.01 | \$32,611.29 |

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

| | Realized gains and losses | | Unrealized |
|-----------|---------------------------|-------------------|-----------------------|
| | November 2016 (\$) | Year to date (\$) | gains and losses (\$) |
| Long term | 0.00 | -14,824.77 | 0.00 |

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information* about your statement on the last two pages of this document for details.

| | November 2016 (\$) | Year to date (\$) |
|---|--------------------|----------------------|
| Opening balances | \$83.29 | \$0.00 |
| <i>Additions</i> | | |
| Deposits and other funds credited | 0.00 | 700.00 |
| Dividend and interest income | 823.01 | 32,611.29 |
| Proceeds from investment transactions | 0.00 | 183,964.28 |
| Total additions | \$823.01 | \$217,275.57 |
| <i>Subtractions</i> | | |
| Professional management fees and related services | 577.18 | -5,673.41 |
| Other fees | -30.00 | -792.54 |
| Other funds debited | -876.30 | -209,955.04 |
| Funds withdrawn for investments bought | 0.00 | -277.40 |
| Total subtractions | -\$329.12 | -\$216,698.39 |
| Net cash flow | \$493.89 | \$577.18 |
| Closing balances | \$577.18 | \$577.18 |



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212-821-7000/800-308-3140

Withholdings and tax summary

| | November 2016 (\$) | Year to date (\$) |
|--------------------|--------------------|-------------------|
| Foreign taxes paid | -123.45 | -2,501.25 |

UBS Bank USA Deposit Account APY

Interest period Oct 7 - Nov 6

| | |
|--|----------|
| Opening UBS Bank USA Deposit balance Oct 7 | \$0.00 |
| Closing UBS Bank USA Deposit balance Nov 6 | \$0.00 |
| Number of days in interest period | 31 |
| Average daily balance | \$675.13 |
| Interest earned | \$0.00 |
| Annual percentage yield earned | 0.00% |

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

| Holding | Opening balance on Nov 1 (\$) | Closing balance on Nov 30 (\$) | Price per share on Nov 30 (\$) | Average rate | Dividend/Interest period | Days in period | Cap amount (\$) |
|-----------------------|----------------------------------|-----------------------------------|-----------------------------------|-----------------|-----------------------------|-------------------|-----------------|
| Cash | 0.00 | 577.18 | | | | | |
| UBS BANK USA DEP ACCT | 83.29 | 0.00 | | | | | 250,000.00 |
| Total | \$83.29 | \$577.18 | | | | | |

Your total assets

| | | Value on Nov 30 (\$) | Percentage of your account | Cost basis (\$) | Estimated annual income (\$) | Unrealized gain or loss (\$) |
|--------------|--------------------------------|----------------------|-------------------------------|--------------------|---------------------------------|---------------------------------|
| Cash | Cash and money balances | 577.18 | 100.00% | 577.18 | | |
| Total | | \$577.18 | 100.00% | \$577.18 | | |

Account activity this month

| | Date | Activity | Description | Amount (\$) |
|-------------------------------------|--------|---------------------|---|-----------------|
| Dividend and interest income | | | | |
| Taxable dividends | Nov 4 | Foreign Dividend | ENGIE SPON ADR AS OF 11/03/16 CUSIP: 29286D105 | 823.01 |
| | | | Total taxable dividends | \$823.01 |
| | | | Total dividend and interest income | \$823.01 |
| Fees | | | | |
| | Nov 4 | Fee | ENGIE SPON ADR AS OF 11/03/16 | -30.00 |
| | Nov 30 | Refund | PRORATED ADVISORY FEE | 577.18 |
| | | | Total professional management fees | \$577.18 |
| | | | Total other fees | -\$30.00 |
| Other funds debited | | | | |
| | Nov 1 | Transfer | JOURNAL TO Y1 23575 GHISLAINE MAXWELL | -83.29 |

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Account activity this month (continued)

| | Date | Activity | Description | Amount (\$) |
|--|--------|----------------------|----------------------------------|------------------|
| Other funds debited (continued) | Nov 4 | Foreign Tax Withheld | ENGIE SPON ADR AS OF 11/03/16 | -123.45 |
| | Nov 28 | Transfer | TO Y1 36508 4200 | -669.56 |
| | | | Total other funds debited | -\$876.30 |

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

| Date | Activity | Description | Quantity | Value (\$) | Price (\$) | Proceeds from investment transactions (\$) | Funds withdrawn for investments bought (\$) | Accrued interest (\$) |
|--------|----------|--|------------|------------|------------|--|---|-----------------------|
| Nov 28 | Transfer | BAE SYSTEMS PLC SPON ADR TO Y1 36508 4200 CUSIP: 05523R107 | -1,000.000 | -29,915.00 | | | | |
| Nov 28 | Transfer | DEUTSCHE POST AG SPON ADR TO Y1 36508 4200 CUSIP: 25157Y202 | -1,000.000 | -30,875.00 | | | | |
| Nov 28 | Transfer | ENGIE SPON ADR TO Y1 36508 4200 CUSIP: 29286D105 | -1,500.000 | -18,412.50 | | | | |
| Nov 28 | Transfer | IMPERIAL BRANDS PLC SPON ADR TO Y1 36508 4200 CUSIP: 45262P102 | -1,030.000 | -45,052.20 | | | | |
| Nov 28 | Transfer | MTN GROUP LTD SPON ADR TO Y1 36508 4200 CUSIP: 62474M108 | -1,990.000 | -16,248.35 | | | | |
| Nov 28 | Transfer | ORKLA ASA A SHS SPON ADR TO Y1 36508 4200 CUSIP: 686331109 | -4,115.000 | -36,541.20 | | | | |
| Nov 28 | Transfer | RECKITT BENCKISER PLC SPON ADR TO Y1 36508 4200 CUSIP: 756255204 | -3,540.000 | -59,224.20 | | | | |
| Nov 28 | Transfer | SINGAPORE TELECOM LTD NEW 2006 SPON ADR TO Y1 36508 4200 CUSIP: 82929R304 | -1,765.000 | -46,375.37 | | | | |
| Nov 28 | Transfer | SIEMENS A G SPON ADR TO Y1 36508 4200 CUSIP: 826197501 | -500.000 | -56,060.00 | | | | |
| Nov 28 | Transfer | ASTRAZENECA PLC SPON ADR TO Y1 36508 4200 CUSIP: 046353108 | -730.000 | -19,512.90 | | | | |

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Account activity this month (continued)

Investment transactions (continued)

| Date | Activity | Description | Quantity | Value (\$) | Price (\$) | Proceeds from investment transactions (\$) | Funds withdrawn for investments bought (\$) | Accrued interest (\$) |
|-----------------------------------|----------|--|------------|------------|----------------------|--|---|-----------------------|
| Nov 28 | Transfer | BHP BILLITON LTD SPON ADR TO Y1 36508 4200 CUSIP: 088606108 | -435.000 | -16,677.90 | | | | |
| Nov 28 | Transfer | DEUTSCHE TELEKOM AG DE SPON ADR TO Y1 36508 4200 CUSIP: 251566105 | -4,270.000 | -66,014.20 | | | | |
| Nov 28 | Transfer | GLAXO SMITHKLINE PLC ADR TO Y1 36508 4200 CUSIP: 37733W105 | -515.000 | -19,719.35 | | | | |
| Nov 28 | Transfer | NIPPON TELE & TEL CORP SPON ADR TO Y1 36508 4200 CUSIP: 654624105 | -2,210.000 | -90,123.80 | | | | |
| Nov 28 | Transfer | NOVARTIS AG SPON ADR TO Y1 36508 4200 CUSIP: 66987V109 | -975.000 | -67,353.00 | | | | |
| Nov 28 | Transfer | P.T. TELEKOMUNIKASI INDONESIA SPON ADR TO Y1 36508 4200 CUSIP: 715684106 | -1,380.000 | -39,123.00 | | | | |
| Nov 28 | Transfer | ROYAL DUTCH SHELL PLC CL A SPON ADR TO Y1 36508 4200 CUSIP: 780259206 | -27.000 | -1,328.40 | | | | |
| Nov 28 | Transfer | ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR TO Y1 36508 4200 CUSIP: 780259107 | -400.000 | -20,800.00 | | | | |
| Nov 28 | Transfer | STATOIL ASA SPON ADR TO Y1 36508 4200 CUSIP: 85771P102 | -1,450.000 | -24,113.50 | | | | |
| Nov 28 | Transfer | TAIWAN SEMICONDUCTOR MFG CO LTD ADR TO Y1 36508 4200 CUSIP: 874039100 | -830.000 | -24,617.80 | | | | |
| Nov 28 | Transfer | UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR TO Y1 36508 4200 CUSIP: 904784709 | -1,000.000 | -39,210.00 | | | | |
| Nov 28 | Transfer | VODAFONE GROUP PLC SPON ADR TO Y1 36508 4200 CUSIP: 92857W308 | -1,039.000 | -25,486.67 | | | | |
| Total | | | | | -\$792,784.34 | | | |
| Securities transferred out | | | | | | | | |



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Account activity this month (continued)

| | Date | Activity | Description | Amount (\$) |
|---------------------------------|---------------|---|---|----------------|
| Money balance activities | Oct 31 | Balance forward | | \$83.29 |
| | Nov 2 | Withdrawal | UBS BANK USA DEPOSIT ACCOUNT AS OF 11/01/16 | -83.29 |
| | Nov 7 | Deposit | UBS BANK USA DEPOSIT ACCOUNT | 669.56 |
| | Nov 29 | Withdrawal | UBS BANK USA DEPOSIT ACCOUNT AS OF 11/28/16 | -669.56 |
| | Nov 30 | Closing UBS Bank USA Deposit Account | | \$0.00 |

The UBS Bank USA Deposit Account is your primary sweep option.